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Contact properties of a low-resistance aluminum-based electrode with metal capping layers in vertical oxide thin-film transistors†

Sori Jeon,^a Kwang-Heum Lee,^a Seung-Hee Lee, ^b^a Seong-In Cho, ^a Chi-Sun Hwang,^b Jong Beom Ko^{*} and Sang-Hee Ko Park^{*}

Thin-film transistors (TFTs) with a small pitch size are necessary to realize high-resolution displays for virtual reality and augmented reality applications. Particularly, electrodes require low-resistance metals to reduce the resistance-capacitance delay caused by the increased pixel density. However, lowresistance Al can easily oxidize in bottom-contact structures of vertical TFTs owing to the oxidative deposition environment. This study quantitatively analyzed the contact properties of an Al-based metal with Mo and Ti capping layers. The Mo/Al/Mo and Ti/Al/Ti were adopted as the source/drain (S/D) electrodes, and their contact properties were compared. The top-gate bottom-contact device with Mo/ Al/Mo S/D exhibited better contact properties, with a 0.02 V turn-on voltage (V_{on}), 3.5 \times 10⁷ ON/OFF ratio, and 5.7 k Ω contact resistance (R_{SD}). By contrast, the device with Ti/Al/Ti S/D exhibited degraded characteristics, with a -0.3 V V_{on} , 0.9×10^7 ON/OFF ratio, and 17 k Ω R_{SD} owing to metal oxidation. The contact properties were further examined through ultraviolet photoelectron spectroscopy, X-ray photoelectron spectroscopy, and transmission electron microscopy. Vertical TFTs were fabricated using Mo/Al/Mo and Ti/Al/Ti electrodes, and their electrical properties were investigated. The vertical TFT with Mo/Al/Mo electrodes exhibited reasonable performance, with a field-effect mobility of 3.3 cm² V⁻¹ s⁻¹ and R_{SD} of 15 k Ω . Conversely, the device with Ti/Al/Ti electrodes yielded degraded transfer characteristics, with a mobility of 0.05 cm² V⁻¹ s⁻¹ and R_{SD} of 984 k Ω . The analysis indicates that electrode materials significantly influence the electrical performance of vertical TFTs. Therefore, electrode materials must be carefully selected and structured to realize high-end vertical TFT arrays.

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Introduction

Continuous development has significantly increased the number of Internet of Things¹ devices with enhanced connectivity. Therefore, next-generation displays with high-resolution, lowpower consumption, and wearability are increasingly used as interfaces between devices.^{2,3} Virtual reality (VR) and augmented reality (AR) displays require high-density pixels to present realistic images. To satisfy this demand, thin-film transistors (TFTs) with oxide semiconductors have been investigated owing to their advantages, such as adequate uniformity, high mobility, and low OFF-current.^{4–6} High mobility oxide materials, such as indium-tin-zinc oxide (ITZO),⁷ indium-zinc oxide (IZO),^{8,9} indium–gallium–tin oxide $(IGTO)^{10}$ and indium oxide (In_2O_3) ,¹¹ have been actively researched to obtain high-performance TFTs. Additionally, gate insulators, such as HfO₂,¹² ZrO₂,¹³ Al₂O₃,¹⁴ and Al₂O₃/Si₃N₄ bi-layer¹⁵ with high-*k* properties, are considered promising candidates for achieving high drain current.

The resistance of a metal electrode line is significant to the resistance–capacitance (RC) delay of high-resolution arrays. Therefore, low-resistance metals, such as copper (Cu)¹⁶ and aluminum (Al),¹⁷ are typically used for large area or high-resolution displays. Compared with Cu, Al does not exhibit metal diffusion issues and is inexpensive owing to its abundant availability.¹⁸

The electrode material determines the characteristics of oxide TFTs and RC delays. Previous studies have reported that TFT characteristics can significantly vary owing to channel shortening, metal diffusion, and contact resistance, which are associated with the electrode materials.^{19,20} The contact resistance hinders the injection of charges from the source electrode, restricting current flow in the TFT despite using an excellent insulator and oxide semiconductor.

^a Department of Materials Science and Engineering, KAIST, 291 Daehak-Ro, Yuseong-Gu, Daejeon 34141, Republic of Korea. E-mail: kojb914@kaist.ac.kr, shkp@kaist.ac.kr

^b Reality Display Device Research Section, ETRI, 218 Gajeong-Ro, Yuseong-Gu, Daejeon 305-700, Republic of Korea

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Paper

Self-aligned TFTs are considered the most suitable for highend displays owing to their negligible parasitic capacitance. However, downsizing the oxide TFTs is critical for their application in ultra-high-resolution displays, such as VR and AR with over 3000 pixels per inch. Several strategies have been explored to reduce the footprint of TFTs.^{21,22} A vertical TFT is considered as a promising candidate since it can be effectively fabricated using conventional photolithography while effectively minimizing the channel area.

A vertical TFT exhibits a bottom-contact structure, wherein the oxide semiconductor is deposited on a source/drain (S/D) electrode under an oxidizing environment.^{23,24} This deposition sequence causes oxidation of the metal electrode, resulting in contact issues and degrading the output current. Particularly, the easily oxidized low-resistance Al causes a highly critical contact issue. Therefore, a capping layer of Al is necessary, and the effect of each capping layer on the contact resistance and characteristics of oxide TFTs should be investigated. The interfaces between S/D and active layers in top-contact structures have been extensively investigated.^{25–28} However, the contact issues in bottom-contact structured TFTs have not been explored sufficiently.

This study analyzes the contact properties between the oxide semiconductor and metal S/D electrode in a bottom-contact structure using an Al-based metal electrode with titanium (Ti) and molybdenum (Mo) capping layers. The contact characteristics of the metal electrode are compared with those of an indium–tin oxide (ITO) non-metallic electrode, which does not exhibit contact degradation despite the oxidation of the electrode. The experiment results of the contact properties in the bottom-contact structure facilitate the realization of a vertical TFT with reasonable contact characteristics by adopting triple-layered Al-based S/D metals.

Experiments

We applied a multilayer structure to the Al-based S/D electrodes to improve their contact properties with the oxide semiconductor. The multilayered S/D electrode included Mo or Ti as capping layers. Initially, the TFTs were fabricated with a topgate bottom-contact (TGBC) structure, as shown in Fig. 1(a), to examine the contact properties of each S/D electrode. The TGBC structure is appropriate for investigating contact properties while excluding unwanted side effects from processes, such as back-channel damage and roughness, because it has the same film stack sequences as that of a vertical TFT. The metal electrode with two types of capping layers, Mo/Al/Mo (30/100/ 20 nm) and Ti/Al/Ti (30/100/10 nm), served as S/D electrodes deposited via direct current (DC) sputtering on a glass substrate. The thickness of each capping layer of the bottom metal was modulated to ensure a similar sheet resistance of approximately 270 m Ω \Box^{-1} . The high sheet resistance of the transparent oxide conductor ITO renders it unsuitable to be used as an electrode line; however, unlike metal electrodes, ITO does not exhibit any contact issue caused by oxidation. Therefore,

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Fig. 1 Schematic of a (a) top-gate bottom-contact (TGBC) structure and (b) a vertical thin-film transistor (TFT).

the characteristics of TFTs with metal electrodes were compared with those of ITO electrodes. After the S/D patterning process, 5 nm thick InO_x active layer was deposited via plasmaenhanced atomic layer deposition (PE-ALD) at 200 °C with $Et_2InN(SiMe_3)_2$ as the precursor.¹¹ Subsequently, O₂ plasma treatment was performed at 7 mW mm^{-2} for 9 min to control the intrinsic carrier concentration. A 10 nm thick Al₂O₃ layer was then deposited using PE-ALD to protect the oxide semiconductor from chemical exposure.²⁹ A 25 nm thick Al₂O₃ layer was deposited using PE-ALD at 200 °C for the gate insulator. The influence of the external environment can be excluded without an additional passivation process owing to the outstanding barrier characteristics of the Al₂O₃ layer.⁴ Finally, a 100 nm thick Mo film was deposited via DC sputtering and patterned as a gate electrode. Furthermore, the post-annealing process was performed at 200 and 250 °C for 2 h under vacuum condition. The electrical properties of each device were investigated using a semiconductor parameter analyzer (HP 4156A) in dark and 20 °C conditions to exclude the light illumination effect.³⁰ Based on the transfer characteristics, the contact resistance (R_{SD}) of each device was extracted using the transmission line method (TLM), and the contact properties were analyzed according to the electrode materials. We obtained the X-ray photoelectron spectroscopy (XPS) depth profiles using the K-alpha spectral line and transmission electron microscopy (TEM) images under a 200 kV electron beam energy to investigate the contact interfaces between the oxide semiconductor and S/D metals at the bottom of the device.

Finally, Al-based electrodes with capping layers were employed in vertical TFTs; the corresponding electrical performance was investigated. Fig. 1(b) shows a schematic of the fabricated vertical TFT. The Mo/Al/Mo (30/100/20 nm) or Ti/Al/ Ti (30/100/10 nm) was deposited as the bottom S/D electrode on a glass substrate through DC sputtering. After patterning the bottom S/D electrode, a 500 nm-thick SiO₂ layer, which determines the channel length, was deposited through plasmaenhanced chemical vapor deposition at 300 °C. The top S/D electrode was identical to the bottom electrode. The top S/D and spacer layers were simultaneously patterned using a single photomask. The Mo/Al/Mo and Ti/Al/Ti top S/D electrodes were etched through wet- and dry-etching processes, respectively, owing to dry-etching facility issue. The active layer and gate insulator were processed similar to TGBC TFTs. The 150 nm thick Mo gate electrodes were deposited via DC sputtering and dry-etched. The electrical characteristics of the vertical TFTs were investigated before and after thermal annealing at 200 $^\circ \rm C.$

Results and discussion

The transfer and output characteristics of the TGBC-structured oxide TFTs with different types of S/D electrodes were investigated; we evaluated the characteristics of five devices in two batches for each condition. A gate voltage (V_{gs}) ranging from -5 V to 5 V was applied at 0.1 V increments at a 0.1 V drain voltage (V_{ds}) to measure the transfer curves. Fig. 2 shows the representative transfer characteristics of the devices with widths and lengths of 20 and 10 µm, respectively, depending on the thermal annealing conditions. Fig. S1 (ESI[†]) shows the overlapped transfer characteristics for each sample. Table 1 summarizes the average and standard deviation values of the electrical parameters of the devices. Before thermal annealing, the device with ITO S/D electrode exhibited moderate electrical characteristics with a 27.0 cm² V⁻¹ s⁻¹ field-effect mobility (μ_{lin}). However, μ_{lin} decreased to 11.1 and 4.8 cm² V⁻¹ s⁻¹ in the devices with Mo/Al/Mo and Ti/Al/Ti metal electrodes, respectively, indicating the degradation of contact characteristics. The electrical characteristics of all TFTs improved after thermal annealing at 200 $^\circ \rm C.$ The $\mu_{\rm lin}$ in the device with Mo/Al/ Mo electrode increased to 25.4 cm² V⁻¹ s⁻¹, similar to that of the device with ITO S/D electrode at 30.5 cm² V⁻¹ s⁻¹. Additionally, the device with the Ti/Al/Ti electrode exhibited an improved μ_{lin} of 15.4 cm² V⁻¹ s⁻¹; however, the mobility characteristics were degraded compared with those of the device with the Mo/Al/Mo electrodes. Further annealing at a higher temperature of 250 $^{\circ}\mathrm{C}$ caused the devices with metal S/D



Fig. 2 Transfer characteristics of the top-gate bottom-contact (TGBC) thin-film transistors (TFTs) with different types of source/drain (S/D) electrodes. (a) Before annealing and after vacuum annealing at (b) 200 °C and (c) 250 °C.

electrodes to become conductive or exhibit negatively shifted behavior. By contrast, the device with the ITO S/D electrode maintained its on/off characteristics.

The output curves of each device were measured and investigated in the low- V_{ds} region to compare the contact properties based on the S/D electrode materials. The output characteristics were measured by applying $V_{\rm ds}$, ranging from -1 V to 10 V, with various values of V_{gs} (0, 1, 3 and 5 V). Fig. 3(a)–(c) show the output curves of the TGBC TFTs with Mo/Al/Mo, Ti/Al/Ti, and ITO S/D electrodes, respectively, before thermal annealing. As indicated in the previously obtained transfer characteristics, the devices with Mo/Al/Mo and Ti/Al/Ti electrodes exhibited a low output current. Particularly, a nonlinear relationship was observed between the drain current and drain voltage when V_{ds} was approximately 0 V (insets of output curves). The TFT with the Ti/Al/Ti S/D electrode exhibited the lowest current, with the slope indicating a sagged shape; this indicates the presence of contact issues and Schottky barrier tunneling between the active layer and S/D electrode.25 After thermal annealing at 200 °C, the output characteristics of the devices with metal electrodes remarkably improved and were similar to those of the devices with ITO S/D electrodes (Fig. 3(d)-(f)).

The R_{SD} values of the devices were calculated using TLM³¹ based on their electrical characteristics, as follows:

$$R_{\rm tot} = \frac{V_{\rm ds}}{I_{\rm ds}},\tag{1}$$

$$R_{\rm tot}W = R_{\rm S}L + R_{\rm SD}W = \frac{L}{\mu C_i (V_{\rm gs} - V_{\rm on})} + R_{\rm SD}W, \qquad (2)$$

where R_{tot} , R_S , V_{on} , L, and W indicate the total resistance, sheet resistance, turn-on voltage, channel length, and width, respectively. The R_{tot} can be derived using Ohm's law and can be calculated with the drain current (I_{ds}) at specific V_{gs} and V_{ds} (0.1 V) from the transfer curves in the linear region. The channel width was maintained constant at 20 µm, whereas the channel length was varied at 5, 10, 15, 20, 25, and 30 μ m. The $R_{tot}W$ exhibited a linear relationship with the channel length for a constant value of V_{gs} (Fig. S1, ESI[†]). Based on the $R_{tot}W$ versus L curves, the $R_{\rm SD}$ of each device was extracted using $V_{\rm gs} - V_{\rm on}$, as shown in Fig. 4. The TFTs exhibited lower contact resistances because the applied $V_{\rm gs}$ was high owing to the increased carrier density. Before annealing, the R_{SD} values of the TFTs with Mo/ Al/Mo, Ti/Al/Ti, and ITO S/D electrodes were 59, 243, and 2.4 k Ω , respectively, at $V_{\rm gs} - V_{\rm on} = 4$ V. After thermal annealing at 200 °C, the $R_{\rm SD}$ values of the Mo/Al/Mo, Ti/Al/Ti, and ITO S/D

 Table 1
 Average and standard deviation values of the electrical parameters of five TGBC TFTs with different types of S/D electrodes before and after thermal annealing

S/D electrode materials		$S.S [V dec^{-1}]$	$V_{ m on}$ [V]	Hys. [V]	$\mu_{\rm lin} [{\rm cm}^2 {\rm V}^{-1} {\rm s}^{-1}]$	$ON/OFF(\times 10^7)$
Mo/Al/Mo	Before annealing	0.09 ± 0.00	0.28 ± 0.03	0.62 ± 0.08	0.62 ± 0.08	2.09 ± 1.04
	Annealed at 200 °C	0.08 ± 0.01	0.02 ± 0.04	0.24 ± 0.09	0.24 ± 0.09	3.46 ± 1.66
Ti/Al/Ti	Before annealing	0.07 ± 0.01	0.21 ± 0.16	0.76 ± 0.05	0.76 ± 0.05	0.45 ± 0.03
	Annealed at 200 $^\circ C$	0.07 ± 0.01	-0.30 ± 0.05	0.30 ± 0.07	0.30 ± 0.07	0.79 ± 1.11
ITO	Before annealing	$0.10 \pm 0.01 \\ 0.07 \pm 0.01$	$0.20 \pm 0.03 \\ -0.14 \pm 0.14$	0.56 ± 0.18 0.48 ± 0.19	0.56 ± 0.18 0.48 ± 0.19	2.55 ± 1.80 4.68 ± 2.08
	Annealed at 200 G	0.07 ± 0.01	0.14 ± 0.14	0.40 ± 0.10	0.40 ± 0.15	4.00 ± 2.00



Fig. 3 Output curves of the top-gate bottom-contact (TGBC) thin-film transistors (TFTs) with (a) Mo/Al/Mo, (b) Ti/Al/Ti, and (c) indium-tin oxide (ITO) electrodes before annealing. Output curves of the devices with (d) Mo/Al/Mo, (e) Ti/Al/Ti, and (f) ITO electrodes after annealing at 200 $^{\circ}$ C.



Fig. 4 Extracted contact resistance (R_{SD}) calculated as the difference between the gate voltage and turn-on voltage ($V_{gs} - V_{on}$) using the transmission line method (TLM) in the top-gate bottom-contact (TGBC) thin-film transistors (TFTs) with Mo/Al/Mo, Ti/Al/Ti, and indium-tin oxide (ITO) electrodes (a) before and (b) after annealing at 200 °C.

TFTs significantly reduced to 5.7, 17, and 0.2 k Ω , respectively. The additional carriers generated in the oxide semiconductor after thermal annealing improved the contact properties. Furthermore, indium-rich regions were formed at the interface between the metal and InO_x. The bond between indium and oxygen in the contact region was broken, and the oxygen atoms within the semiconductor of InO_x bonded with the S/D metals to form metal oxides. Consequently, many oxygen vacancies were generated within the InO_x. Typically, the oxygen vacancies in the oxide semiconductor serve as shallow donors to generate electrons, increasing the carrier density.³² Therefore, the contact resistances decrease after thermal annealing; further annealing at higher temperatures causes substantial carrier generation, inducing a negative shift in V_{on}.

We analyzed the band alignments of the contact structures to investigate the contact properties between the InO_x active layer and each S/D electrode. The work function and valence



Fig. 5 Ultraviolet photoelectron spectroscopy (UPS) spectra of (a) Mo, (b) Ti, and (c) indium-tin oxide (ITO) to determine the work function. (d) Schematic of the band position of electrode materials and InO_x . Band alignment in the contact between (e) Mo/InO_x or Ti/InO_x and (f) ITO/InO_x.

band offset (VBO) of each material were measured through ultraviolet photoelectron spectroscopy (UPS). Fig. 5(a)–(c) show the UPS-measured data of Mo, Ti, and ITO electrodes, respectively, at a high binding energy. The work function can be calculated as³³

$$\emptyset = h\nu - E_{\rm B}$$
 (secondary cut-off), (3)

where Φ , $h\nu$, and $E_{\rm B}$ represent the work function, incident photon energy, and binding energy, respectively. We considered He-I UPS with a 21.2 eV energy in our analysis. Fig. 5(d) shows a schematic of the band position of electrode materials and InO_x , including work function, band-gap energy (E_g), and VBO. We determined the values of $E_{\rm B}$ and VBO of ${\rm InO}_x$ using UPS and the E_{g} extracted from the transmission spectra measured via ultraviolet-visible measurement (Fig. S2, ESI†).34-36 Mo and Ti exhibited larger work functions than InO_x; therefore, the Schottky barrier was generated when they were in contact (Fig. 5(e)). Based on the extracted E_{g} , VBO, and work function values, the estimated height of the Schottky barrier was approximately 0.5 eV.³⁷ Therefore, the devices with Mo/Al/Mo and Ti/Al/Ti S/D electrodes exhibited degraded transfer and output characteristics before thermal annealing. Conversely, the ITO S/D electrodes formed an ohmic contact with InO_r (Fig. 5(f)), resulting in an oxide TFT with reasonable electrical properties without contact issues. Although the Schottky junction is formed in the Mo or Ti metal and InO_x interfaces, oxide TFTs with high carrier concentration exhibit adequate electrical characteristics when appropriate forward $V_{\rm gs}$ and $V_{\rm ds}$ are applied, and the barrier height can be surpassed.^{38,39}

The bonding states at the interfaces between the metal and oxide semiconductors were investigated through XPS by analyzing the metal peaks. Fig. 6(a) and (b) show the Mo 3d and Ti 2p metal peaks, respectively, in the bulk metal region (black line) and contact region (blue line). The approximate contact regions were determined through XPS depth profiles by observing a

decrease in the atomic ratio of indium and an increase in the metal content (Mo or Ti) up to a certain extent.⁴⁰ Additionally, because the oxygen stoichiometry in MoO₂ or TiO₂ was larger than in In₂O₃, we considered the regions where the oxygen depth profile increased and saturated as the contact region (Fig. S4, ESI⁺). A few shoulder peaks were generated in the contact region of the Mo/Al/Mo and Ti/Al/Ti electrodes, unlike in the bulk metal region. This implies that Mo and Ti at the contact interface have various oxidation states, indicating the possible oxidation of certain metals in the contact region. An additional Ti₂O₃ peak was observed with significant broadening in the Ti/Al/Ti electrode, implying the formation of TiO_x at the contact interface.⁴¹ Furthermore, no significant variation in the Ti sub-peaks was observed within the proximity of the contact regions, regardless of the depth sputtering time, as shown in Fig. S4 (ESI[†]). However, the data of XPS peaks included bonding state information up to the depth of several tens of micrometers, limiting the use of XPS for comparing the degree of oxidation.

Fig. 6(c) and (d) show the cross-sections of each sample analyzed using TEM to compare the degree of oxidation at the contact interfaces *via* structural analysis. No metal oxides were formed in the the Mo/InO_x interface. However, an additional layer was observed between the Ti and InO_x layers. Based on the energy dispersive spectroscopy (EDS) analysis, the Ti elements were detected in these interlayer regions (Fig. S3, ESI[†]); the TiO_x layer formed at the interface between Ti and InO_x degraded the contact properties.

The difference in the degree of oxidation under the same conditions between Mo and Ti metals can be attributed to thermodynamic reasons. The thermodynamic stability of a metaloxide interface can be calculated using tabulated standard free



Fig. 6 X-ray photoelectron spectroscopy (XPS) spectra of metal peaks (a) Mo 3d and (b) Ti 2p in the bulk metal region (black line) and contact region (blue line). Cross-sectional high-resolution transmission electron microscopy (TEM) images of the contact region of the top-gate bottom-contact (TGBC) thin-film transistors (TFTs) with (c) Mo/Al/Mo and (d) Ti/Al/Ti source/drain (S/D) electrodes.

energy of formation data. The Gibbs free energy (ΔG_{rxn}^0) of the reactions of Mo, Ti, and Al with In₂O₃ at 200 °C are expressed as^{42,43}

$$3Mo_{(s)} + 2In_2O_{3(s)} = 4In_{(l)} + 3MoO_{2(s)} \quad \Delta G_{rxn}^0 = 24.6 \text{ kJ mol}^{-1},$$
(4)

$$3^{1}\Pi_{(s)} + 2 \Pi_{2} O_{3(s)} = 4 \Pi_{(1)} + 3^{1}\Pi_{2(s)} \quad \Delta G_{rxn} = -509.7 \text{ kJ mol}^{-1}$$
(5)

$$3Al_{(s)} + In_2O_{3(s)} = 2In_{(l)} + Al_2O_{3(s)} \quad \Delta G_{rxn}^0 = -752.6 \text{ kJ mol}^{-1}.$$

(6)

The $\Delta G_{\rm rxn}^0$ of each reaction was compared at 200 °C, which denotes the deposition temperature of InOx at which the metaloxide semiconductor interface is formed. The reaction between Mo and In_2O_3 to form MoO₂ exhibits a positive ΔG_{rxn}^0 value of 24.6 kJ mol $^{-1}$, ⁴² indicating that additional energy is required. By contrast, the reaction between Ti and In_2O_3 to form TiO₂ exhibits a large negative $\Delta G_{\rm rxn}^0$ value of -509.7 kJ mol⁻¹,^{42,43} indicating that TiO2 formation is more thermodynamically stable than the existing Ti metal in the contact region at 200 °C.⁴³ Therefore, the formation of TiO₂ was more thermodynamically stable than that of MoO2 in the deposition environment of InO_x. Consequently, the metal-oxide layer was more apparent in the cross-sectional TEM images. This indicates that electrical devices suffer from contact issues when TFTs are used with Ti/Al/Ti electrodes. The Al oxidation at the contact interface with In2O3 was a thermodynamically stable reaction with a larger negative value $(-752.6 \text{ kJ mol}^{-1})$ than that of the Ti oxidation reaction. Furthermore, Al oxidation was apparent at the interface between InO_x and Al metal when the InO_x was directly deposited on the Al metal surface (Fig. S4, ESI⁺). Therefore, oxide TFTs with Al electrodes require additional capping layers to exhibit a normal electrical performance.⁴⁴

We fabricated and investigated the performance of vertical TFTs with Al-based metal electrodes according to the results of the TGBC TFTs. Fig. 7(a) and (b) compare the transfer characteristics of vertical TFTs with Mo/Al/Mo and Ti/Al/Ti electrodes before and after thermal annealing, respectively. The width and length of the vertical TFTs were 8 and 0.5 µm, respectively. The vertical TFTs demonstrated an improvement in the OFFcurrent after thermal annealing. In vertical TFTs, the electrical characteristics are closely related to the back-channel properties. In particular, the processes involved in the dry etching of spacers and the ashing process for photoresist (PR) removal can generate defects within the back-channel. These defects increase the intrinsic carrier concentration within the adjacent active layers, elevating the OFF-current, as documented in prior studies.²³ Subsequent thermal annealing rectify these defects, enhancing the OFF-current performance.

The vertical TFTs exhibited severe contact issues before thermal annealing, similar to that observed in the TGBC TFTs. After thermal annealing at 200 °C, the electrical performances of the vertical TFTs significantly improved. However, the vertical TFT with Mo/Al/Mo electrodes exhibited substantially better electrical characteristics than that with Ti/Al/Ti electrodes, wherein the current flow was degraded, and a negative



Fig. 7 Transfer characteristics of vertical thin-film transistors (TFT) with Mo/Al/Mo and Ti/Al/Ti source/drain (S/D) electrodes (a) before and (b) after thermal annealing at 200 $^{\circ}$ C. Output curves of the vertical TFTs with (c) Mo/Al/Mo and (d) Ti/Al/Ti S/D after thermal annealing at 200 $^{\circ}$ C.

shift was observed at $V_{\rm on}$. As discussed earlier, the contact between Ti and InO_x exhibited less favorable characteristics in terms of electrode oxidation compared with that between Mo and InOx. Therefore, the vertical TFT with Ti/Al/Ti electrodes presented severe contact issues and a negative Von shift, originating from the generation of oxygen vacancies in InO_x during the formation of TiO_x. The vertical TFTs exhibited a relatively high gate leakage current before thermal annealing but decreased to below 10 pA after thermal annealing. However, it remained slightly higher than that of the TGBC TFTs, with approximately 1 pA of leakage current (Fig. 2). This difference may be attributed to the roughness degradation of the back channel in the vertical TFTs caused by the dry etching of spacers. This degradation could introduce leakage paths for tunneling current, particularly when using a thin gate insulator.²³ Further improvements can be achieved by optimizing the etching process of the spacers or applying additional treatments, such as oxygen plasma treatment.

The output curves of each vertical TFTs were measured under the same condition as that for TGBC TFTs. Fig. 7(c) and (d) show the output curves after thermal annealing at 200 °C of the vertical TFTs with Mo/Al/Mo and Ti/Al/Ti S/D electrodes, respectively. As previously observed in the transfer curves, the devices with Ti/Al/ Ti electrodes exhibited extremely low output current owing to the oxidation of Ti. By contrast, the vertical TFT with Mo/Al/Mo electrodes exhibited reasonable output characteristics. Notably, the vertical TFT with Mo/Al/Mo electrodes demonstrated a larger output current than the TGBC TFTs, despite the degraded electrical parameters. This is attributed to the extremely short channel length of the vertical TFTs, which operates on a nanometer scale. Furthermore, this extremely short channel length made it difficult for the output current to saturate with an increased V_{ds} owing to the drain-induced barrier lowering effect.⁴⁵

Structural analysis was performed based on the TEM images of the cross-sectional structure of each vertical TFT (Fig. 8(a)



Fig. 8 Cross-sectional transmission electron microscopy (TEM) images of the fabricated vertical TFTs with (a) Mo/Al/Mo and (b) Ti/Al/Ti S/D electrodes.

and (b)). This study used ALD for the deposition of the InO_r active layer, resulting in excellent step coverage throughout the three-dimensional structure. Consequently, any concerns related to asymmetric thickness distribution of the active layer, which can be an issue with sputtering methods, can be confidently excluded.46 The isotropic Mo/Al/Mo wet-etching process caused undercutting, resulting in the lateral etching of the top Mo/Al/Mo S/D electrode above the spacer by approximately 300 nm; an additional channel length was also formed (Fig. 7(c)). By contrast, because the top Ti/Al/Ti S/D electrode was dry-etched using a Cl-based etching gas,⁴⁷ the channel layer was formed vertically along the spacer. The vertical TFTs exhibited slightly degraded electrical properties compared with those of the previously investigated TGBC TFTs. The back channel of the vertical oxide TFT was exposed through the dry-etching process during the spacer formation. Consequently, the roughness of the spacer degraded the electrical properties of the vertical TFT with a thin active layer of InOr.⁴⁸ Furthermore, unwanted byproducts were introduced into the back channel during the dry-etching of the spacer, affecting the oxide semiconductors.

Table 2 summarizes the electrical parameters of the vertical TFTs extracted considering the actual channel dimensions. Before thermal annealing, the field-effect mobilities of vertical TFTs with Mo/Al/Mo and Ti/Al/Ti S/D electrodes were 0.77 and 0.002 cm² V⁻¹ s⁻¹, respectively. After annealing at 200 °C, the Mo/Al/Mo vertical TFT exhibited significant improvement in ON-current, hysteresis, and $\mu_{\rm lin}$, whereas the Ti/Al/Ti vertical TFT exhibited only a slight improvement. As the channel length of a vertical TFT is determined using the thickness of the spacer, investigating the electrical characteristics becomes difficult with varying channel lengths. Therefore, the contact resistance must be extracted using TLM and other novel methods. In this study, $R_{\rm SD}$ was extracted according to the schematic shown in the inset in Fig. 9 and using the following equations:

$$V_{\rm GS} = V_{\rm gs} - I_{\rm ds} R_{\rm S},\tag{7}$$

$$V_{\rm DS} = V_{\rm ds} - I_{\rm ds}R_{\rm S} - I_{\rm ds}R_{\rm D} = V_{\rm ds} - I_{\rm ds}R_{\rm SD},$$
 (8)

where V_{GS} and V_{DS} indicate the applied gate and drain voltages to the channel, respectively, considering the effect of series

Table 2 Average and standard deviation values of the electrical parameters of five vertical TFTs with Mo/Al/Mo and Ti/Al/Ti S/D electrodes, including S.S, V_{on} , hysteresis, and field-effect mobility

S/D electrode materials		S.S [V dec $^{-1}$]	$V_{\mathrm{on}}\left[\mathrm{V} ight]$	Hys. [V]	$\mu_{\rm lin} [{\rm cm}^2 {\rm V}^{-1} {\rm s}^{-1}]$
Mo/Al/Mo	Before annealing	0.13 ± 0.01	0.45 ± 0.09	0.80 ± 0.11	0.77 ± 0.06
	Annealed at 200 °C	0.13 ± 0.01	0.14 ± 0.05	0.26 ± 0.05	3.26 ± 0.45
Ti/Al/Ti	Before annealing	0.39 ± 0.07	-0.43 ± 0.11	0.23 ± 0.11	0.002 ± 0.001
	Annealed at 200 °C	0.10 ± 0.02	-0.29 ± 0.18	0.22 ± 0.05	0.05 ± 0.06

resistance and parasitic capacitance on the applied bias to the gate ($V_{\rm gs}$) and drain ($V_{\rm ds}$) electrodes. In the case of large $V_{\rm GS}$ and extremely small $V_{\rm DS}$, the $V_{\rm gs}$ and $V_{\rm ds}$ can be expressed as

$$V_{\rm GS} \cong V_{\rm gs},$$
 (9)

$$V_{\rm GS} - V_{\rm th} - \frac{1}{2} V_{\rm DS} \simeq V_{\rm GS} - V_{\rm th}.$$
 (10)

As $V_{\rm GS}$ was assumed to be large, and $V_{\rm DS}$ was sufficiently small, the above equation can be applied to the relationship equation between the drain current ($I_{\rm ds}$) and $V_{\rm GS}$ in the linear region, as follows:^{49,50}

$$I_{\rm ds} = \frac{W \cdot C_{\rm ox}}{L} \cdot \mu \cdot \left(V_{\rm gs} - V_{\rm th} \right) \cdot (V_{\rm DS}), \tag{11}$$

$$I_{\rm ds} = \frac{W \cdot C_{\rm ox}}{L} \cdot \mu \cdot \left(V_{\rm gs} - V_{\rm th} \right) \cdot \left(V_{\rm ds} - I_{\rm ds} R_{\rm SD} \right), \tag{12}$$

$$I_{\rm ds} = m \cdot (V_{\rm gs} - V_{\rm th}) \cdot (V_{\rm ds} - I_{\rm ds} R_{\rm SD}), \qquad (13)$$

$$\frac{V_{\rm ds}}{I_{\rm ds}} = \frac{1}{m(V_{\rm GS} - V_{\rm th})} + R_{\rm SD},$$
 (14)

where $C_{\rm ox}$, μ , and $V_{\rm th}$ indicate the gate dielectric capacitance, charge carrier mobility, and threshold voltage, respectively. As the $V_{\rm ds}/I_{\rm ds}$ has a linear relationship with $1/(V_{\rm GS} - V_{\rm th})$, we can obtain the $R_{\rm SD}$ from the *y*-intercept of the linear graph of $V_{\rm ds}/I_{\rm ds}$ versus $1/(V_{\rm GS} - V_{\rm th})$. Fig. 9 shows the linear graphs of the Mo/Al/Mo and Ti/Al/Ti vertical TFTs. The extracted $R_{\rm SD}$ values from the vertical TFT with Mo/Al/Mo and Ti/Al/Ti electrodes were 15 and 984 k Ω , respectively, higher than those obtained from the TGBC TFTs. The exposure of the top surface and side

1.4x10 Mo/AI/Mo S/D 1.3x10⁶ Ti/AI/Ti S/D 1.2x10⁶ Vds/lds 1.1x10⁶ 1 0x10 R_{SD,Ti/AI/Ti} 9.0x10⁵ SD Mo/AI/Mo 0.2 0.3 0.4 0.5 01 1/(VGS - Vth)

Fig. 9 Linear relationship between V_{ds}/I_{ds} and $1/(V_{GS} - V_{th})$ of the vertical thin-film transistor (TFT) with Mo/Al/Mo and Ti/Al/Ti source/drain (S/D) electrodes.

plane of electrodes to the dry-etching process of the spacer can degrade the morphology and resistive characteristics of the metal electrode. Therefore, we inferred that the contact properties of Mo/Al/Mo and Ti/Al/Ti in vertical TFTs were degraded compared with those of the TGBC TFTs.

The electrical properties of the vertical TFT strongly rely on the electrode materials. This emphasizes the importance of carefully considering electrode materials and their structure for realizing high-end vertical TFTs. Further research is necessary to develop metal-based materials with low resistance and adequate contact properties based on oxide semiconductors in bottom-contact structures to improve the electrical properties of vertical TFTs.

Conclusions

This study investigated the bottom-contact properties of Al-based electrodes with Mo and Ti capping layers to achieve reasonable performances of vertical TFTs. We analyzed the contact properties of Mo/Al/Mo and Ti/Al/Ti electrodes with InO_x by fabricating TGBC-structured TFTs. Before thermal annealing, the TGBC TFT with metal electrodes exhibited contact issues, whereas the device with ITO electrodes exhibited normal electrical characteristics. After thermal annealing at 200 °C, the electrical characteristics of the devices with Mo/ Al/Mo and Ti/Al/Ti electrodes were improved. The R_{SD} values of each device were extracted and compared using TLM. The results indicated that the contact resistance of the Ti/Al/Ti electrodes was high before thermal annealing. The band alignment between each capping metal and InO_r was investigated based on the UPS measurements. The results indicated that the Mo and Ti metals formed a Schottky contact with InO_x, whereas the ITO formed an ohmic contact. Additionally, the results of the XPS depth profile and TEM analysis confirmed the formation of TiO_r at the contact interface. Finally, based on the analysis of the TGBC TFTs, the Al-based electrodes were adopted in the vertical TFTs. The vertical TFT with Mo/Al/Mo S/D electrodes exhibited electrical performance with a fieldeffect mobility of 3.3 cm² V⁻¹ s⁻¹ at a turn-on voltage of 0.14 V. The vertical TFTs exhibited degraded electrical characteristics compared with those of the TGBC TFTs owing to process side effects, including the degradation caused by the rough morphology resulting from the dry-etching process in the back channel and the outgassing from the spacer. Our analysis revealed that electrode materials significantly influence the performance of vertical TFTs. Therefore, carefully considering electrode materials and their structure is crucial for designing

high-end vertical TFTs. These study findings can facilitate the development of vertical TFTs with reduced RC delays for ultrahigh-resolution display applications.

Author contributions

Paper

Sori Jeon: conceptualization, methodology, investigation, writing – original draft; Kwang-Heum Lee: formal analysis, investigation; Seung-Hee Lee: formal analysis, investigation; Seong-In Cho: investigation, writing – review & editing; Chi-Sun Hwang: methodology, investigation; Jong Beom Ko: conceptualization, investigation, writing – review & editing; Sang-Hee Ko Park: supervision, funding acquisition, writing – review & editing.

Conflicts of interest

There are no conflicts to declare.

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